

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

|   |
|---|
| <b>Name of entity</b> Energy Resources of Australia Limited |
| <b>ABN</b> 71 008 550 865                                   |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                  |
|----------------------------|------------------|
| <b>Name of Director</b>    | Simon TROTT      |
| <b>Date of last notice</b> | 8 September 2017 |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |  |
|--|--|
| <b>Direct or indirect interest</b>   | Indirect   |
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>                        | Rio Tinto Limited ordinary shares (" <b>RT Shares</b> ") held by Computershare Company Nominees Limited (as nominee for the Vested Share Account on behalf of Simon Trott) |
| <b>Date of change</b>  | 27 September 2017  |
| <b>No. of securities held prior to change</b>  | Nil  |
| <b>Class</b>   | RT Shares  |
| <b>Number acquired</b>   | 66 RT Shares   |
| <b>Number disposed</b>   | Nil  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and estimated valuation</small>   | Acquisition of RT Shares at \$66.43 per share under the terms of the Rio Tinto Limited Dividend Reinvestment Plan (" <b>DRP</b> ")   |
| <b>No. of securities held after change</b>   | 66 RT Shares   |
| <b>Nature of change</b><br><small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small> | RT Shares acquired pursuant to participation in the <b>DRP</b>   |

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+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

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| Direct or indirect interest  | Indirect   |
| Nature of indirect interest<br>(including registered holder)<br>Note: Provide details of the circumstances giving rise to the relevant interest.                     | Indirect interest in RT Shares acquired under myShare, the Rio Tinto Limited Global Employee Share Plan (" <b>myShare</b> "), held by Computershare Trustees (Jersey) Limited on behalf of Mr Trott, and a conditional right to additional matching RT Shares (" <b>Matching RT Share Rights</b> "). |
| Date of change   | 27 September 2017  |
| No. of securities held prior to change   | 658 RT Shares<br>315** Matching RT Share Rights  |
| Class  | RT Shares and Matching RT Share Rights   |
| Number acquired  | 14 RT Shares*  |
| Number disposed  | N/A  |
| Value/Consideration<br>Note: If consideration is non-cash, provide details and estimated valuation   | Acquisition of RT Shares at \$66.43 per share pursuant to the dividend reinvestment provisions under the terms of myShare  |
| No. of securities held after change  | 672 RT Shares<br>315** Matching RT Share Rights  |
| Nature of change<br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | RT Shares acquired pursuant to participation in the DRP  |

\*This includes 1 RT Share resulting from the aggregation of fractional entitlements arising from RT Share acquisitions under the terms of myShare.

\*\* In accordance with the rules of myShare, upon vesting of the Matching RT Share Rights, Mr Trott may receive additional RT Shares in lieu of dividends that would have been paid to him in the period from grant on the base number of shares being the subject of the Matching RT Share Rights.

|  |  |
|--|--|
| Direct or indirect interest  | Indirect   |
| Nature of indirect interest<br>(including registered holder)<br>Note: Provide details of the circumstances giving rise to the relevant interest. | RT Shares held indirectly through the "Simon Trott Testamentary Trust" |
| Date of change   | 5 September 2017   |
| No. of securities held prior to change   | 2,979 RT Shares  |
| Class  | RT Shares  |
| Number acquired  | N/A  |
| Number disposed  | N/A  |

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**Appendix 3Y**  
**Change of Director's Interest Notice**

|   |                 |
|---|-----------------|
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | N/A             |
| <b>No. of securities held after change</b>  | 2,979 RT Shares |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | N/A             |

|   |  |
|---|--|
| <b>Direct or indirect interest</b>  | Direct   |
| <b>Nature of indirect interest (including registered holder)</b><br>Note: Provide details of the circumstances giving rise to the relevant interest.                        | N/A  |
| <b>Date of change</b>   | N/A  |
| <b>No. of securities held prior to change</b>   | 44,518 rights (" <b>Rights</b> ") to be granted RT Shares, being: <ul style="list-style-type: none"> <li>• 32,682 Rights under the Rio Tinto Limited Performance Share Plan as amended from time to time ("<b>PSP</b>");* and</li> <li>• 7,102 Rights under the Rio Tinto Limited Management Share Plan as amended from time to time ("<b>MSP</b>");* and</li> <li>• 4,734 Rights under the Rio Tinto Limited Bonus Deferral Plan as amended from time to time ("<b>BDP</b>").*</li> </ul> |
| <b>Class</b>  | Rights under the terms of the PSP, the MSP and the BDP   |
| <b>Number acquired</b>  | N/A  |
| <b>Number disposed</b>  | N/A  |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | N/A  |
| <b>No. of securities held after change</b>  | 44, 518 Rights: <ul style="list-style-type: none"> <li>• 32,682 Rights under the PSP; and</li> <li>• 7,102 Rights under the MSP; and</li> <li>• 4,734 Rights under the BDP.</li> </ul>   |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | N/A  |

\*In accordance with the rules of the BDP, MSP, and the rules of the PSP in respect of awards granted from 2013, upon vesting Mr Trott may receive additional RT Shares in lieu of dividends that would have been paid to her in the period from grant on the base number of RT Shares, the subject of the Rights that vest.

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## Appendix 3Y

### Change of Director's Interest Notice

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#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

|   |     |
|---|-----|
| Detail of contract  | N/A |
| Nature of interest  | N/A |
| Name of registered holder<br>(if issued securities)   | N/A |
| Date of change  | N/A |
| No. and class of securities to which<br>interest related prior to change<br><small>Note: Details are only required for a contract in relation<br/>to which the interest has changed</small> | N/A |
| Interest acquired   | N/A |
| Interest disposed   | N/A |
| Value/Consideration<br><small>Note: If consideration is non-cash, provide details and an<br/>estimated valuation</small>  | N/A |
| Interest after change   | N/A |

#### Part 3 – +Closed period

|   |     |
|---|-----|
| Were the interests in the securities or contracts detailed<br>above traded during a +closed period where prior written<br>clearance was required? | N/A |
| If so, was prior written clearance provided to allow the trade<br>to proceed during this period?  | N/A |
| If prior written clearance was provided, on what date was this<br>provided?   | N/A |

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