



**ERA** Energy Resources of Australia Ltd

ABN 71 008 550 865  
A member of the Rio Tinto Group

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28 April 2017

Company Announcements Office  
Australian Stock Exchange Limited  
Level 4, Stock Exchange Centre  
20 Bridge Street  
Sydney NSW 2000

Dear Sir / Madam,

### **Energy Resources of Australia Ltd**

Enclosed are two Appendix 3Y's relating to the notifiable interests of Ms Zara Fisher and Mr Simon Trott.

Under the ASX Listing Rules, in addition to notifying changes in relevant interests of its Director's in ERA Shares, ERA is required to notify ASX of a change of a Director's relevant interests in Rio Tinto Limited shares. This is because the definition of "notifiable interests" extends to relevant interests in securities of ERA's related bodies corporate which include Rio Tinto Limited.

Appendix 3Y's were lodged for Ms Fisher and Mr Trott on 19 April 2017. The enclosed Appendix 3Y's are given following changes of notifiable interests that occurred between 17 and 20 April 2017 for both Ms Fisher and Mr Trott. The changes in the Appendix 3Y's relate to indirect holdings of Ms Fisher and Mr Trott in Rio Tinto Limited shares. None of the transactions relate to ERA Shares.

ERA has established formal arrangements with Rio Tinto Limited to identify any changes in directors' interests in Rio Tinto Limited securities given their designation as "notifiable interests". In this instance, due to administrative delays ERA was not able to meet its reporting deadlines. ERA is undertaking steps to improve its reporting arrangements to ensure that its Director's notifiable interests in Rio Tinto Limited Shares – in addition to ERA Shares – are reported to ASX in the time required by the ASX Listing Rules.

Yours faithfully,

Aaron Madden  
**Company Secretary**

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b> Energy Resources of Australia Limited
<b>ABN</b> 71 008 550 865

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Simon TROTT
<b>Date of last notice</b>	10 April 2017

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Indirect interest in Rio Tinto Limited ordinary shares (" <b>RT Shares</b> ") acquired under myShare, the Rio Tinto Limited Global Employee Share Plan (" <b>myShare</b> "), held by Computershare Trustees (Jersey) Limited on behalf of Mr Trott, and a conditional right to additional matching RT Shares (" <b>Matching RT Share Rights</b> ").
<b>Date of change</b>	17 and 18 April 2017
<b>No. of securities held prior to change</b>	564 RT Shares 313 Matching RT Share Rights*
<b>Class</b>	RT Shares and Matching RT Share Rights
<b>Number acquired</b>	24 RT Shares 49 Matching RT Share Rights*
<b>Number disposed</b>	Nil

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+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>Value/Consideration</b>          Note: If consideration is non-cash, provide details and estimated valuation</p>	<p>Acquisition of :</p> <ul style="list-style-type: none"> <li>• 24 RT Shares on 18 April 2017 at \$58.17 per share under the terms of myShare</li> <li>• Grant of 24 Matching RT Share Rights* on 18 April 2017 under the terms of myShare at no cost.</li> <li>• 22 RT Shares for nil consideration, following vesting of Matching RT Share Rights on 17 April 2017 under the terms of myShare, being:             <ul style="list-style-type: none"> <li>- 22 RT Shares;</li> <li>- 3 RT Shares** representing the value of dividends that would have been paid since grant on the Matching RT Share Rights.</li> </ul> </li> </ul>
<p><b>No. of securities held after change</b></p>	<p>588 RT Shares          362 Matching RT Share Rights*</p>
<p><b>Nature of change</b>          Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	<ul style="list-style-type: none"> <li>• Acquisition of RT Shares under the terms of myShare</li> <li>• Grant of Matching RT Share Rights under the terms of myShare.</li> </ul>

\* In accordance with the rules of myShare, upon vesting of the Matching RT Share Rights, Mr Trott may receive additional RT Shares in lieu of dividends that would have been paid to him in the period from grant on the base number of shares being the subject of the Matching RT Share Rights that vest.

\*\*This includes 1 RT Share resulting from the aggregation of fractional entitlements arising from RT Share acquisitions under the terms of myShare.

<p><b>Direct or indirect interest</b></p>	<p>Indirect</p>
<p><b>Nature of indirect interest (including registered holder)</b>          Note: Provide details of the circumstances giving rise to the relevant interest.</p>	<p>Rio Tinto Limited ordinary shares ("<b>RT Shares</b>") held by Computershare Company Nominees Limited (as nominee for the Vested Share Account on behalf of Simon Trott)</p>
<p><b>Date of change</b></p>	<p>N/A</p>
<p><b>No. of securities held prior to change</b></p>	<p>2,678 RT Shares</p>
<p><b>Class</b></p>	<p>RT Shares</p>
<p><b>Number acquired</b></p>	<p>N/A</p>
<p><b>Number disposed</b></p>	<p>N/A</p>
<p><b>Value/Consideration</b>          Note: If consideration is non-cash, provide details and estimated valuation</p>	<p>N/A</p>
<p><b>No. of securities held after change</b></p>	<p>2,678</p>

+ See chapter 19 for defined terms.

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**Change of Director's Interest Notice**

<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	N/A
<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	N/A
<b>Date of change</b>	N/A
<b>No. of securities held prior to change</b>	45,129 rights (" <b>Rights</b> ") to be granted Rio Tinto Limited ordinary shares (" <b>RT Shares</b> "), being: <ul style="list-style-type: none"> <li>• 4,734 Rights under the Rio Tinto Limited Bonus Deferral Plan as amended from time to time ("<b>BDP</b>")*;</li> <li>• 33,293 Rights under the Rio Tinto Limited Performance Share Plan as amended from time to time ("<b>PSP</b>")* and</li> <li>• 7,102 Rights under the Rio Tinto Limited Management Share Plan as amended from time to time ("<b>MSP</b>").*</li> </ul>
<b>Class</b>	Rights under the terms of the BDP, the PSP and the MSP.
<b>Number acquired</b>	N/A
<b>Number disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A
<b>No. of securities held after change</b>	45,129 Rights, being: <ul style="list-style-type: none"> <li>• 4,734 Rights under the BDP;</li> <li>• 33,293 Rights under the PSP; and</li> <li>• 7,102 Rights under the MSP.</li> </ul>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	N/A

\* In accordance with the rules of the BDP, MSP, and the rules of the PSP in respect of awards granted from 2013, upon vesting Mr Trott may receive additional RT Shares in lieu of dividends that would have been paid to him in the period from grant on the base number of RT Shares, the subject of the Rights that vest.

<b>Direct or indirect interest</b>	Direct
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+ See chapter 19 for defined terms.

**Appendix 3Y**  
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<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	N/A
<b>Date of change</b>	N/A
<b>No. of securities held prior to change</b>	4,201 RT Shares
<b>Class</b>	RT Shares
<b>Number acquired</b>	N/A
<b>Number disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	N/A
<b>No. of securities held after change</b>	4,201 RT Shares
<b>Nature of change</b> <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	N/A

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A
<b>Interest after change</b>	N/A

+ See chapter 19 for defined terms.

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	N/A
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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