



FACSIMILE COVER SHEET

**HSBC Bank Australia Limited**  
ABN 48 006 434 162 AFSL 232595

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*Date:* 14:06 10/04/2018

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04 October 2018

Australian Securities Exchange  
ASX Markets Announcement Office  
Exchange Centre  
20 Bridge Street  
Sydney  
NSW 2000

Via fax: 1300 135 638

Dear Sirs

**Notice of Change of Initial Substantial Holder**

Please find enclosed Form 603 in relation to Aeris Resources Limited.

Should you have any further queries, please contact the undersigned at +61 2 9006 5508.

Yours faithfully

A handwritten signature in black ink, appearing to read 'Sarah Craven'.

Sarah Craven  
Senior Manager, GBM Regulatory Compliance  
**HSBC Bank Australia Limited**

Encl.



**Form 603**Corporations Act 2001  
Section 671B**Notice of initial substantial holder**To: Company Name/Scheme **AERIS RESOURCES LIMITED**ACN/ARSN **147 131 977****1. Details of substantial holder (1)**Name **HSBC Holdings Plc**

ACN/ARSN (if applicable)

The holder became a substantial holder on **02 / 10 / 2018****2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Fully Paid Shares	26,865,574	26,865,574	6.36%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
	Please refer to Appendix 1	

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
	Please refer to Appendix 2		

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
HSBC Custody Nominees (Australia) Limited	02 October 2018	2,755,387.8 AUD		13,776,939

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

**7. Addresses**

The addresses of persons named in this form are as follows:


Name	Address
	Please refer to Appendix 3

**Signature**

print name **Robert Agati**

capacity **Company Secretary HSBC Bank Australia Limited**

sign here



date **04 / 10 / 2018**

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

### APPENDIX 1 - Details of Relevant Interests

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
HSBC Holdings plc.	HSBC Finance (Netherlands) is wholly owned by HSBC Holdings plc.	Ordinary Shares 26,865,574
HSBC Finance (Netherlands)	HSBC Holdings B.V. is wholly owned by HSBC Finance (Netherlands)	Ordinary Shares 26,865,574
HSBC Holdings B.V.	HSBC Asia Holdings (UK) Limited is wholly owned by HSBC Holdings B.V.	Ordinary Shares 26,865,574
HSBC Asia Holdings (UK) Limited	HSBC Asia Holdings B.V. is wholly owned by HSBC Asia Holdings (UK) Limited	Ordinary Shares 26,865,574
HSBC Asia Holdings B.V.	The Hongkong and Shanghai Banking Corporation Limited is wholly owned by HSBC Asia Holdings B.V.	Ordinary Shares 26,865,574
The Hongkong and Shanghai Banking Corporation Limited	HSBC International Trustee (Holdings) Pte. Limited is wholly owned by The Hongkong and Shanghai Banking Corporation Limited	Ordinary Shares 26,865,574
HSBC International Trustee (Holdings) Pte. Limited	HSBC International Trustee Limited is wholly owned by HSBC International Trustee (Holdings) Pte. Limited	Ordinary Shares 26,865,574
HSBC International Trustee Limited	HSBC Trustee (Singapore) Limited is wholly owned by HSBC International Trustee Limited	Ordinary Shares 26,865,574
HSBC Trustee (Singapore) Limited	DGJ Keet Investments Limited is wholly owned by a trust of which HSBC Trustee (Singapore) Limited acts as trustee	Ordinary Shares 26,865,574
DGJ Keet Investments Limited	HSBC Trustee (Singapore) Limited is the trustee of DGJ Keet Investments Limited and has a relevant interest pursuant to Section 608(3) of the Act	Ordinary Shares 26,865,574
HSBC Custody Nominees (Australia) Limited	Registered Holder (Custodian)	Ordinary Shares 26,865,574

## APPENDIX 2 - Details of Present Registered Holders

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
HSBC Holdings plc.	HSBC Holdings plc.	HSBC Holdings plc.	HSBC Finance (Netherlands) is wholly owned by HSBC Holdings plc.	Ordinary Shares 26,865,574	26,865,574
HSBC Finance (Netherlands)	HSBC Finance (Netherlands)	HSBC Finance (Netherlands)	HSBC Holdings B.V. is wholly owned by HSBC Finance (Netherlands)	Ordinary Shares 26,865,574	26,865,574
HSBC Holdings B.V.	HSBC Holdings B.V.	HSBC Holdings B.V.	HSBC Asia Holdings (UK) Limited is wholly owned by HSBC Holdings B.V.	Ordinary Shares 26,865,574	26,865,574
HSBC Asia Holdings (UK) Limited	HSBC Asia Holdings (UK) Limited	HSBC Asia Holdings (UK) Limited	HSBC Asia Holdings B.V. is wholly owned by HSBC Asia Holdings (UK) Limited	Ordinary Shares 26,865,574	26,865,574
HSBC Asia Holdings B.V.	HSBC Asia Holdings B.V.	HSBC Asia Holdings B.V.	The Hongkong and Shanghai Banking Corporation Limited is wholly owned by HSBC Asia Holdings B.V.	Ordinary Shares 26,865,574	26,865,574

26,865,574	Ordinary Shares 26,865,574	HSBC International Trustee (Holdings) Pte. Limited is wholly owned by The Hongkong and Shanghai Banking Corporation Limited	HSBC International Trustee (Holdings) Pte. Limited	HSBC International Trustee (Holdings) Pte. Limited is wholly owned by HSBC International Trustee (Holdings) Pte. Limited	HSBC International Trustee (Singapore) Limited is wholly owned by HSBC International Trustee Limited	DGJ Keet Investments Limited acts as trustee	Ordinary Shares 26,865,574	26,865,574
26,865,574	Ordinary Shares 26,865,574	HSBC International Trustee (Holdings) Pte. Limited is wholly owned by HSBC International Trustee (Holdings) Pte. Limited	HSBC International Trustee (Holdings) Pte. Limited	HSBC International Trustee (Singapore) Limited is wholly owned by HSBC International Trustee Limited	HSBC International Trustee (Singapore) Limited is wholly owned by a trust of which HSBC Trustee (Singapore) Limited acts as trustee	DGJ Keet Investments Limited and has a relevant interest pursuant to Section 608(3) of the Act	Ordinary Shares 26,865,574	26,865,574
26,865,574	Ordinary Shares 26,865,574	The Hongkong and Shanghai Banking Corporation Limited	The Hongkong and Shanghai Banking Corporation Limited	HSBC International Trustee Limited	HSBC Trustee (Singapore) Limited	DGJ Keet Investments Limited	Ordinary Shares 26,865,574	26,865,574
26,865,574	Ordinary Shares 26,865,574	HSBC International Trustee (Australia) Limited	HSBC Custody Nominees (Australia) Limited	HSBC Custody Nominees (Australia) Limited	Registered Holder (Custodian)		Ordinary Shares 26,865,574	26,865,574



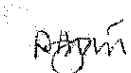
**APPENDIX 3 - Addresses**

Name	Address
HSBC Holdings plc.	8 Canada Square, London, E14 5HQ, United Kingdom
HSBC Finance (Netherlands)	8 Canada Square, London, E14 5HQ, United Kingdom
HSBC Holdings B.V.	8 Canada Square, London, E14 5HQ, United Kingdom
HSBC Asia Holdings (UK) Limited	8 Canada Square, London, E14 5HQ, United Kingdom
HSBC Asia Holdings B.V.	De Entrée 242, 1101 EE, Amsterdam ZO, The Netherlands (The Netherlands)
The Hongkong and Shanghai Banking Corporation Limited	1 Queen's Road Central, Hong Kong (Hong Kong)
HSBC International Trustee (Holdings) Pte. Limited	21 Collyer Quay #13-02 HSBC Building, Singapore 049320.
HSBC International Trustee Limited	Craigmuir Chambers, Road Town Tortola, British Virgin Islands
HSBC Trustee (Singapore) Limited	21 Collyer Quay #13-02 HSBC Building, Singapore 049320
DGJ Keet Investments Limited	c/o HSBC Trustee (Singapore) Limited 21 Collyer Quay #13-01 HSBC Building, Singapore 049320
HSBC Custody Nominees (Australia) Limited	Level 36 Tower 1 International Towers Sydney 100 Barangaroo Avenue, Sydney NSW 2000 Australia



**SIGNATURE**

PRINT NAME ROBERT AGATI      CAPACITY COMPANY SECRETARY - HSBC BANK AUSTRALIA LIMITED

Sign Here 

Date 04/10/2018