

24 November 2016

## Clean TeQ Substantial Shareholder Update

Clean TeQ Holdings Limited (CLQ:ASX) (**Clean TeQ** or **Company**) advises that the Company's Co-Chairman and largest shareholder, Mr Robert Friedland, has increased his holding in Clean TeQ to 19.35% through the acquisition of 5,000,000 shares at a price of A\$0.39 per share.

The shares were acquired from Mr Peter Voigt, an Executive Director of Clean TeQ, via an off-market transaction. Mr Voigt founded Clean TeQ 25 years ago and has the vast majority of his family's assets invested in the Company. Upon turning 65 years of age in November 2016, Mr Voigt is required to cash out a proportion of the Company shares held by his family superannuation fund due to the regulatory requirements relating to superannuation funds. Mr Voigt remains a significant shareholder of Clean TeQ and intends to remain so for the foreseeable future.

Updated statutory disclosures are attached for Mr Friedland and Mr Voigt.

**For more information about Clean TeQ contact:**

Sam Riggall, Executive Chairman or Ben Stockdale, CFO +61 3 9797 6700

**About Clean TeQ Holdings Limited (ASX: CLQ)** – Based in Melbourne, Clean TeQ, using its proprietary Clean-iX® continuous ion exchange technology, is a leader in metals recovery and industrial water treatment.

**About the Syerston Project** – Clean TeQ is the 100% owner of the Syerston Project, located in New South Wales. The Syerston Project is one of the largest and highest grade scandium deposits in the world and one of the highest grade and largest nickel and cobalt deposit outside of Africa.

For more information about Clean TeQ please visit the Company's website [www.cleanteq.com](http://www.cleanteq.com).

*This release may contain forward-looking statements. The actual results could differ materially from a conclusion, forecast or projection in the forward-looking information. Certain material factors or assumptions were applied in drawing a conclusion or making a forecast or projection as reflected in the forward-looking information.*

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available.  
Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

Name of entity	<b>Clean TeQ Holdings Limited</b>
ABN	<b>34 127 457 916</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Peter Leonard Voigt
Date of last notice	17 May 2016

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct and Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Thierville Pty Ltd – Mr Voigt is a shareholder and director of this entity. Thierville Pty Ltd ATF Star Superannuation Fund – Mr Voigt is a beneficiary of this fund.
Date of change	18 November 2016
No. of securities held prior to change	<u>Direct</u> 68,873 fully paid ordinary shares 861,681 unlisted performance rights 2,000,000 unlisted options exercisable at \$0.145 (14.5 cents) per option 1,000,000 unlisted options exercisable at \$0.282 (28.2 cents) per option  <u>Indirect</u> Thierville Pty Ltd ATF Star Superannuation Fund 23,106,120 – fully paid ordinary shares  Thierville Pty Ltd 4,550,801 – fully paid ordinary shares
Class	Fully paid ordinary shares

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

Number acquired	Nil
Number disposed	5,000,000
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	\$0.39 per share
No. of securities held after change	<p><u>Direct</u>  68,873 fully paid ordinary shares  861,681 unlisted performance rights  2,000,000 unlisted options exercisable at \$0.145 (14.5 cents) per option  1,000,000 unlisted options exercisable at \$0.282 (28.2 cents) per option</p> <p><u>Indirect</u>  Thierville Pty Ltd ATF Star Superannuation Fund  18,106,120 – fully paid ordinary shares</p> <p>Thierville Pty Ltd  4,550,801 – fully paid ordinary shares</p>
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Off-market disposal

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/A
Interest after change	N/A

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

---

Part 3 – <sup>+</sup>Closed period

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

---

<sup>+</sup> See chapter 19 for defined terms.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available.  
Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

Name of entity	<b>Clean TeQ Holdings Limited</b>
ABN	<b>34 127 457 916</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Robert Martin Friedland
Date of last notice	8 September 2016

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	N/A
Date of change	18 November 2016
No. of securities held prior to change	<u>Direct</u> 87,518,888 – fully paid ordinary shares
Class	Fully paid ordinary shares
Number acquired	5,000,000
Number disposed	Nil

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	\$0.39 per share
No. of securities held after change	<u>Direct</u> 92,518,888 – fully paid ordinary shares
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Off-market acquisition

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/A
Interest after change	N/A

**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

<sup>+</sup> See chapter 19 for defined terms.

# Form 605

Corporations Act 2001  
Section 671B

## Notice of ceasing to be a substantial holder

To Company Name/Scheme CLEAN TEQ HOLDINGS LIMITED

ACN/ARSN 127 457 916

### 1. Details of substantial holder (1)

Name PETER VOIGT AND ASSOCIATED ENTITY THIERVERILLE PTY LTD

ACN/ARSN (if applicable) THIERVERILLE PTY LTD: 006 727 573

The holder ceased to be a substantial holder on 18 NOVEMBER 2016

The previous notice was given to the company on 17 MAY 2016

The previous notice was dated 17 MAY 2016

### 2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
5 JULY 2016	PETER VOIGT AND THIERVERILLE PTY LTD	DILUTION AS A RESULT OF NEW SHARE ISSUE BY COMPANY	NIL	27,725,794 FPO's	REDUCTION IN VOTING POWER TO 6.34%
3 AUGUST 2016	PETER VOIGT AND THIERVERILLE PTY LTD	DILUTION AS A RESULT OF NEW SHARE ISSUE BY COMPANY	NIL	27,725,794 FPO's	REDUCTION IN VOTING POWER TO 6.31%
23 AUGUST 2016	PETER VOIGT AND THIERVERILLE PTY LTD	DILUTION AS A RESULT OF NEW SHARE ISSUE BY COMPANY	NIL	27,725,794 FPO's	REDUCTION IN VOTING POWER TO 6.31%
26 AUGUST 2016	PETER VOIGT AND THIERVERILLE PTY LTD	DILUTION AS A RESULT OF NEW SHARE ISSUE BY COMPANY	NIL	27,725,794 FPO's	REDUCTION IN VOTING POWER TO 6.30%
8 NOVEMBER 2016	PETER VOIGT AND THIERVERILLE PTY LTD	DILUTION AS A RESULT OF NEW SHARE ISSUE BY COMPANY	NIL	27,725,794 FPO's	REDUCTION IN VOTING POWER TO 5.80%
18 NOVEMBER 2016	PETER VOIGT AND THIERVERILLE PTY LTD	SALE OF 5,000,000 FPO's VIA OFF-MARKET TRANSFER	\$1,950,000	22,725,794 FPO's	REDUCTION IN VOTING POWER TO 4.75%

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

#### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
PETER VOIGT	PO BOX 2143, MORNINGTON, VIC 3931
THIERVILLE PTY LTD	PO BOX 2143, MORNINGTON, VIC 3931

#### Signature

print name      PETER VOIGT      capacity      SELF/DIRECTOR

sign here



date      23 NOVEMBER 2016

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 4 of the form.
- (2) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (3) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (4) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (5) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (6) The voting shares of a company constitute one class unless divided into separate classes.
- (7) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.



# Form 604

Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme **Clean TeQ Holdings Limited**

ACN/ARSN **127 457 916**

### 1. Details of substantial holder (1)

Name **Robert Martin Friedland**

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on **18 November 2016**

The previous notice was given to the company on **9 November 2016**

The previous notice was dated **9 November 2016**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
<b>Fully Paid Ordinary Shares (FPOs)</b>	<b>87,518,888</b>	<b>18.30%</b>	<b>92,518,888</b>	<b>19.35%</b>

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
<b>18 November 2016</b>	<b>Robert Martin Friedland</b>	<b>Off market purchase</b>	<b>\$1,950,000</b>	<b>5,000,000 FPOs</b>	<b>5,000,000</b>

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
<b>Robert Martin Friedland</b>	<b>J P Morgan Nominees Australia Limited</b>	<b>Robert Martin Friedland</b>	<b>Shareholder</b>	<b>87,518,888 FPOs</b>	<b>87,518,888</b>
<b>Robert Martin Friedland</b>	<b>Robert Martin Friedland</b>	<b>Robert Martin Friedland</b>	<b>Shareholder</b>	<b>5,000,000 FPO's</b>	<b>5,000,000</b>

### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

### 6. Addresses


The addresses of persons named in this form are as follows:

Name	Address
Robert Martin Friedland	150 Beach Rd #23-05, The Gateway West, Singapore 189720

## Signature

print name     Robert Martin Friedland     capacity

---

sign here          date     23 November 2016

---

### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.